

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	MOORE 7-6C	Total Pages	2
First Named Inventor or Application Identifier: Moore et al.			
Title : VACUUM ULTRA-VIOLET TRANSMITTING SILICON OXYFLUORIDE LITHOGRAPHY GLASS			
Express Mail Label No.	EV 327188413 US		

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on November 5, 2003

(Date)

Signature Colleen E. Doherty  
Colleen E. Doherty

"EXPRESS MAIL" Mailing Label No. EV 327188413 US

1. <input checked="" type="checkbox"/> * Fee Transmittal Form <i>(Submit an original and a duplicate for fee processing)</i>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 37] <i>(preferred arrangement set forth below)</i>	6. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
- Descriptive title of the Invention	a. <input type="checkbox"/> Computer Readable Copy
- Cross References to Related Applications	b. <input type="checkbox"/> Paper Copy (identical to computer copy)
- Statement Regarding Fed sponsored R&D	c. <input type="checkbox"/> Statement verifying identity of above copies
- Reference to Microfiche Appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets 19]	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. Oath or Declaration [Total Pages 1]	8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
a. <input type="checkbox"/> Executed (original or copy)	9. <input type="checkbox"/> English Translation Document (if applicable)
b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <i>(for continuation/divisional with Box 16 completed)</i>	10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	11. <input checked="" type="checkbox"/> Preliminary Amendment
	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(should be specifically itemized)</i>
	13. <input type="checkbox"/> Certified Copy of Priority Document(s)
	14. <input type="checkbox"/> 15. <input checked="" type="checkbox"/> Other: Ltd. Recognition for S. Chen Under 37CFR10.9(b) <i>(if foreign priority is claimed)</i>

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional.  Continuation-in-part (CIP) of prior application No. 09/997,785, filed November 28, 2001

Prior application information: Examiner: Stephen D. Rosasco Group / Art Unit: 1756

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label 22928 or  Correspondence address below

NAME	Corning Incorporated				
ADDRESS	Patent Dept., SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	607-248-1253	FAX	(607) 974-3848
Name (Print/Type)	Siwen Chen	Registration No. (Attorney/Agent)	Limited Recognition		
Signature	<i>Siwen Chen</i>	Date	<i>November 5, 2003</i>		

USPTO  
10/702269  
22389  
110503

110503  
17691 US PTO

# FEE TRANSMITTAL for FY 2003

**TOTAL AMOUNT OF PAYMENT (\$)** 770.00

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-3325

Deposit Account Name Corning Incorporated

Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity	Fee	Fee	Fee Description	Fee Paid
	Code	(\$)		
1001	770		Utility filing fee	<u>770.00</u>
1002	340		Design filing fee	
1003	530		Plant filing fee	
1004	770		Reissue filing fee	
1005	160		Provisional filing fee	

**SUBTOTAL (1)** (\$770.00)

**2. EXTRA CLAIM FEES**

	Extra	Fee from		
Total Claims	3 - 20** =	x 18 =	Fee Paid	
			00.00	

Independent	1 - 3** =	x 86 =	Fee Paid
Claims			00.00

Multiple Dependent 0 = 0.00

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity	Fee	Fee	Fee Description
	Code	(\$)	
1202	18		Claims in excess of 20
1201	86		Independent claims in excess of 3
1203	290		Multiple dependent claim, if not paid0
1204	86		** Reissue independent claims over original patent
1205	18		** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$00.00)

<b>SUBMITTED BY</b>		<b>Completed (if applicable)</b>	
Name (Print/Type)	<u>Siwen Chen</u>	Registration No. (Attorney/Agent)	Limited Recognition
Signature	<u>Siwen Chen</u>	Date	<u>November 5, 2003</u>

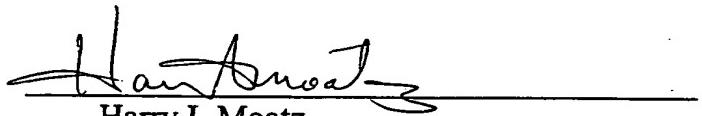
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Siwen Chen is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Corning Incorporated to prepare and prosecute patent applications in which (i) Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; (ii) a wholly-owned subsidiary of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; or (iii) a joint venture of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Siwen Chen ceases to lawfully reside in the United States, (ii) Siwen Chen's employment with Corning Incorporated ceases or is terminated, or (iii) Siwen Chen ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: February 20, 2004**

  
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Harry I. Moatz  
Director of Enrollment and Discipline